

This questionnaire is used by The IPS Partnership to help us assess whether an esoteric or unregulated investment is allowable as an asset of the pension schemes operated by IPS.

The questionnaire should be completed and signed by the investment provider, and submitted to us together with copies of all marketing literature, information memoranda, terms and conditions, application paperwork and other relevant legal documentation.

Name of Investment / Fund.....

Name of provider / fund manager

1. What is the precise nature of the investment and is it regulated by the FSA.

2. Where is the investment fund registered?

3. Please explain the criteria by which you understand the investment is an approved HMRC pension investment

4. Is the investment a limited offer and/or what is the term of the investment?

5. Are there any encashment restrictions for the investment?

6. Is there a lock-in period and if so how long?

7. Are there any restrictions/liabilities or special terms attached to the investment and are there likely to be additional tranches/offers relating to similar investments from the same fund managers?

8. Please provide an overview of the marketing strategy for the investment and what type of investors are being targeted?

9. What marketing/advertising strategies do you utilise to market the investment to prospective clients (e.g.newspapers/mailouts/internet/contact lists)

The information provided herein is correct to the best of my knowledge and belief.

Note: It is an offence to make false statements.

Name: Position:

Signature: Date: